

Important information about this form:

- Use this form to make a direct rollover from a 529 College Savings account into an Alabama ABLE account.
- In a direct rollover the movement of funds is coordinated by the 529 College Savings Plan Manager and ABLE Program Manager. Once this form is submitted to the Alabama ABLE program, they will reach out to your 529 College Savings Plan to coordinate the rollover.
- You must have the 529 College Savings account information and the Alabama ABLE account information available. The 529 College Savings account might also require a Medallion Signature Guarantee in Step 6. You should check with the 529 College Savings Plan Manager before submitting this form.
- If an ABLE account has not yet been established for the Beneficiary, the
 Beneficiary or his/her Authorized Legal Representative should sign up online at
 AlabamaABLE.gov or use an Enrollment Form before this form is completed.
- There's a \$15,000 annual rollover limit (less the amounts previously contributed by any person to the ABLE account that count against the standard \$15,000 limit).
- All rollover assets will be counted against the standard \$15,000 annual contribution limit to the ABLE account.
- The Beneficiary of the Alabama ABLE account must be the same as the beneficiary of the 529 College Savings account or be an eligible "Member of the Family" of such beneficiary as defined by Section 529 of the Internal Revenue Code.
- The Beneficiary of the Alabama ABLE account will also be the owner of the Alabama ABLE account even if such Beneficiary was not the owner of the 529 College Savings account.
- Make sure you use black ink to type or print clearly in capital letters and do not staple the pages together.

Need help?

Give us a call Monday – Friday from 8am – 7pm CT at 1-833-711-2253

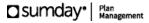
Individuals with speech or hearing disabilities may dial **711** to access Telecommunications Relay Service (TRS) from a telephone or TTY.

Mail the form to:

Alabama ABLE P.O. Box 9894 Providence, RI 02940-8094

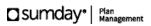
Overnight Mail:

Alabama ABLE 4400 Computer Drive Westborough, MA 01581





ABL	E account information
This	is the Alabama ABLE account you're rolling assets into.
Nam	e of the Beneficiary (First and last)
Bene	eficiary's Social Security or Taxpayer Identification Number
Is the	e Beneficiary the same for both the 529 College Savings account and the Alabama ABLE account?
	Yes
	No, and I certify that the new Beneficiary listed in this step meets the permitted family member designation in Section 529 (includes biological and step parents, aunts, uncles, siblings, children, first cousins, nieces and nephews; parents, siblings, children, nieces and nephews by marriage; legally adopted children; and half-brothers or half-sisters) of the beneficiary of the 529 College Savings account.
Alab	ama ABLE account number
Who	should we contact?
	eed the following information for either the Beneficiary or Authorized Legal Representative in case eed to contact you about the account:
Cont	act name (First and last)



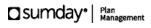
Telephone number



This is the 529 College Savings accou	int you're rolling assets from.	
College Savings Plan name		
— — Plan State Sponsor (2-character state	abbreviation)	
College Savings Plan account numb	er	
Name of the Account Owner (First an	d last)	
	- 	
Email address associated with the C	ollege Savings Plan account	
Email address associated with the C	ollege Savings Plan account	
Email address associated with the C	ollege Savings Plan account	
Email address associated with the C	ollege Savings Plan account t) - If they are not the Account Owner	
Telephone number	ollege Savings Plan account t) — If they are not the Account Owner ayer Identification Number	

State

ZIP Code



City



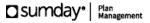
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Rollover information

listed in **Step 3A** above.

There's a \$10 minimum and a \$15,000 annual rollover limit (less the amounts previously contributed by any person to the to the ABLE account that count against the standard \$15,000 annual limit) for an ABLE account. Contributions over the allowed amount will be rejected in their entirety. The rollover contribution will be invested based on the investment selections for the Alabama ABLE account.

	\$, ,
Investment portfolio name	Amount
	\$, ,
Investment portfolio name	Amount
	\$,
Investment portfolio name	Amount





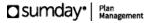


Rollover contribution information

Provide instructions to the Alabama ABLE Savings Plan for how to invest the rollover amount provided in Step 3.

For a full list of all the portfolio options, please go online to AlabamaABLE.gov or see the Plan Disclosure Booklet for important information about the investment options before making a decision. There is a \$5 minimum contribution to each portfolio you select.

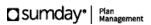
Investment options	
Conservative Portfolio	\$, Amount
Moderate Portfolio	\$, Amount
Aggressive Portfolio	\$ Amount
FDIC Savings Fund	\$, Amount
	\$,





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I agree that this rollover contribution should be accepted by the Alabama ABLE Program Manager.			
Signature of Beneficiary or Authorized Legal Representative of the ABLE account	Date (mm/dd/yyyy)		
Signature of 529 College Savings Plan Account Owner			
By signing this, you're agreeing to these statements:			
 I confirm that I received, understand, consent, and agree to all the the Alabama ABLE Program Disclosure Booklet as they relate to the 			
 I authorize the 529 College Savings Plan Manager, or its designee, ABLE account according to these instructions. 	to roll over assets into the Alabama		
 I certify that the beneficiary of the 529 College Savings account is Alabama ABLE account or that the Beneficiary of the Alabama AB the Family" of the beneficiary of the 529 College Savings account Revenue Code. 	LE account qualifies as a "Member of		
 I understand that a rollover that doesn't meet all of the above cond of the withdrawal from the 529 College Savings account being cor subject to federal income tax and an additional 10% federal tax, a income tax. 	nsidered a non-qualified withdrawal		
 I certify that the above is accurate data regarding the Rollover of the into the referenced ABLE account. I further certify that I have signit Savings account. 	3		
Signature of Account Owner of the 529 College Savings account	Date (mm/dd/yyyy)		







A Medallion Signature Guarantee

You may be required to provide proof of your authority to act on behalf of the 529 College Savings account. If you're not sure if this is required, please contact the 529 College Savings Plan.

Keep in mind that:

- You're providing the following information as underwritten certification that your signature is genuine.
- You can get a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public doesn't qualify, and you cannot guarantee your own signature. You may be required to provide proof of your authority to act on behalf of the ABLE account.
- Only sign if you are in the presence of an authorized officer providing the Medallion Signature Guarantee.

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the **Program Disclosure Booklet**.

	Have the Authorized Officer stamp here
Signature of 529 College Savings Account Owner	
Signature Guarantor	
Title	
Name of Institution	
Date (mm/dd/yyyy)	

